



MALPRACTICE POLICY

(Exams)

2023/24

This policy is reviewed annually to ensure compliance with current regulations

| | |
|------------------------------|--------------|
| Approved/reviewed by | |
| Ms S. Stollery November 2023 | |
| Date of next review | October 2024 |

Key staff involved in the policy

| Role | Name(s) |
|---|--|
| Head of centre | Mrs K. Foster |
| DHT Curriculum & Standards | Mrs R. Spencer |
| DHT Behaviour, Safeguarding & Student Welfare | Mr M. Tyler |
| Examinations Manager | Ms S. Stollery |
| Examinations Assistant | Mrs A. Warman |
| Senior Leaders | Mr M. Briggs Mrs F. Brown Mr M. Gibbs Miss F. Keith Ms A. Vallance Mr N. Ladd |

Exam Board contact telephone numbers

| Exam Board | Contact Telephone Numbers |
|--|---------------------------|
| AQA | 0800 197 7162 |
| Edexcel | 0344 463 2535 |
| OCR | 01223 553998 |
| EDUQAS /WJEC | 02920 265000 |
| Malpractice_Sep23_FINAL.pdf (jcq.org.uk) | |

Contents

| | |
|--|---|
| Key staff involved in the policy | 2 |
| Introduction | 4 |
| Candidate malpractice | 4 |
| Centre staff malpractice | 4 |
| Suspected malpractice | 4 |
| Purpose of the policy | 5 |
| General principles | 5 |
| Preventing malpractice..... | 5 |
| Informing and advising candidates..... | 6 |
| Identification and reporting of malpractice..... | 6 |
| Escalating suspected malpractice issues | 6 |
| Reporting suspected malpractice to the awarding body | 6 |
| Communicating malpractice decisions..... | 7 |
| Appeals against decisions made in cases of malpractice | 7 |

Reference in the policy to **GR** and **SMPP** relate to relevant sections of the current JCQ publications **General Regulations for Approved Centres** and **Suspected Malpractice: Policies and Procedures**.

Introduction

What is malpractice and maladministration?

'Malpractice' and 'maladministration' are related concepts, the common theme of which is that they involve a failure to follow the rules of an examination or assessment. This policy and procedure uses the word 'malpractice' to cover both 'malpractice' and 'maladministration' and it means any act, default or practice which is:

- a breach of the Regulations
- a breach of awarding body requirements regarding how a qualification should be delivered
- a failure to follow established procedures in relation to a qualification which:
 - gives rise to prejudice to candidates
 - compromises public confidence in qualifications
 - compromises, attempts to compromise or may compromise the process of assessment, the integrity of any qualification or the validity of a result or certificate
 - damages the authority, reputation or credibility of any awarding body or centre or any officer, employee or agent of any awarding body or centre (SMPP 1)

Candidate malpractice

'Candidate malpractice' means malpractice by a candidate in connection with any examination or assessment, including the preparation and authentication of any controlled assessments, coursework or non-examination assessments, the presentation of any practical work, the compilation of portfolios of assessment evidence and the writing of any examination paper. (SMPP 2)

Centre staff malpractice

'Centre staff malpractice' means malpractice committed by:

- a member of staff, contractor (whether employed under a contract of employment or a contract for services) or a volunteer at a centre; or
- an individual appointed in another capacity by a centre such as an invigilator, a Communication
 - Professional, a Language Modifier, a practical assistant, a prompter, a reader or a scribe (SMPP 2)

Suspected malpractice

For the purposes of this document, suspected malpractice means all alleged or suspected incidents of malpractice. (SMPP 2)

Purpose of the policy

To confirm that Mark Rutherford School:

- has in place a written malpractice policy which covers all qualifications delivered by the centre which details:
 - how candidates are informed and advised to avoid committing malpractice in examinations/assessments
 - how suspected malpractice issues should be escalated within the centre and reported to the relevant awarding body (GR 5.3)

General principles

In accordance with the regulations Mark Rutherford School will:

- Take all reasonable steps to prevent the occurrence of any malpractice (which includes maladministration) before, during and after examinations have taken place (GR 5.11)
- Inform the awarding body immediately of any alleged, suspected or actual incidents of malpractice or maladministration, involving a candidate or a member of staff, by completing the appropriate documentation (GR 5.11)
- As required by an awarding body, gather evidence of any instances of alleged or suspected malpractice
 - (Which includes maladministration) in accordance with the JCQ publication **Suspected Malpractice - Policies and Procedures** and provide such information and advice as the awarding body may reasonably require (GR 5.11)

Preventing malpractice

Mark Rutherford School has in place:

- Robust processes to prevent and identify malpractice, as outlined in section 3 of the JCQ publication **Suspected Malpractice: Policies and Procedures**. (SMPP 4.3)
- This includes ensuring that all staff involved in the delivery of assessments and examinations understand the requirements for conducting these as specified in the following JCQ documents and any further awarding body guidance:
 - *General Regulations for Approved Centres 2023-2024;*
 - *Instructions for conducting examinations (ICE) 2023-2024; Instructions for conducting coursework 2023-2024;*
 - *Instructions for conducting non-examination assessments 2023-2024;*
 - *Access Arrangements and Reasonable Adjustments 2023-2024; A guide to the special consideration process 2023-2024;*
 - *Suspected Malpractice: Policies and Procedures 2023-2024;*
 - *Plagiarism in Assessments;*
 - *AI Use in Assessments: Protecting the Integrity of Qualifications;*

Informing and advising candidates

Each academic year Mark Rutherford School advises candidates of the JCQ Information for candidate's documents, candidates are issued with an Information for Candidates booklet and complete the candidate declaration form which is retained in exams office.

Identification and reporting of malpractice

Escalating suspected malpractice issues

- Once suspected malpractice is identified, any member of staff at the centre can report it using the appropriate channels (SMPP 4.3)
- The Head of Centre supported by the Examinations Manager ensures irregularities are investigated and informs the awarding bodies of any cases of alleged, suspected or actual incidents of malpractice or maladministration, involving a candidate or a member of staff, are reported to the awarding body immediately.
- The Examinations Manager will report any cases of alleged, suspected or actual incidents of malpractice or maladministration to the Head of Centre and/or Deputy Head (Curriculum & Standards) to facilitate the reporting to the relevant awarding body and the investigation.

Reporting suspected malpractice to the awarding body

- The Head of centre/ Examinations manager will notify the appropriate awarding body immediately of all alleged, suspected or actual incidents of malpractice, using the appropriate forms, and will conduct any investigation and gathering of information in accordance with the requirements of the JCQ publication **Suspected Malpractice: Policies and Procedures** (SMPP 4.1.3)
- The Head of centre/ Examinations manager will ensure that where a candidate is the subject of a malpractice investigation, the candidate's parent/carer/ appropriate adult is kept informed of the progress of the investigation (where appropriate) (SMPP 4.1.3)
- The Head of centre/ Examinations manager will ensure that where a member of centre staff is the subject of a malpractice investigation, they are kept informed of the progress of the investigation (where appropriate) (SMPP 4.1.3)
- Form JCQ/M1 will be used to notify an awarding body of an incident of candidate malpractice. Form JCQ/M2 will be used to notify an awarding body of an incident of suspected staff malpractice/maladministration (SMPP 4.4, 4.6)
- Malpractice by a candidate discovered in a controlled assessment, coursework or non- examination assessment component prior to the candidate signing the declaration of authentication need not be reported to the awarding body but will be dealt with in accordance with the centre's internal procedures. The only exception to this is where the awarding body's confidential assessment material has potentially been breached. The breach will be reported to the awarding body immediately (SMPP 4.5)
- If, in the view of the investigator, there is sufficient evidence to implicate an individual in malpractice, that individual (a candidate or a member of staff) will be informed of the rights of accused individuals (SMPP 5.33)

- Once the information gathering has concluded, the head of centre (or other appointed information gatherer) will submit a written report summarising the information obtained and actions taken to the relevant awarding body, accompanied by the information obtained during the course of their enquiries (5.35)
- Form JCQ/M1 will be used when reporting candidate cases; for centre staff, form JCQ/M3 will be used (SMPP 5.37)
- The awarding body will decide on the basis of the report, and any supporting documentation, whether there is evidence of malpractice and if any further investigation is required. The head of centre will be informed accordingly (SMPP 5.40) Additional information:

Communicating malpractice decisions

Once a decision has been made, it will be communicated in writing to the head of centre as soon as possible. The head of centre will communicate the decision to the individuals concerned and pass on details of any sanctions and action in cases where this is indicated. The head of centre will also inform the individuals if they have the right to appeal. (SMPP 11.1)

Appeals against decisions made in cases of malpractice

Mark Rutherford School will:

- Provide the individual with information on the process and timeframe for submitting an appeal, where relevant
- Refer to further information and follow the process provided in the JCQ publication **A guide to the awarding bodies' appeals processes**